

Groups with few p' -character degrees

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Abstract

We prove a variation of Thompson's Theorem. Namely, if the first column of the character table of a finite group G contains only two distinct values not divisible by a given prime number $p > 3$, then $\mathbf{O}^{pp'pp'}(G) = 1$. This is done by using the classification of finite simple groups.

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1 Introduction

Many problems in the character theory of finite groups deal with character degrees and prime numbers. For instance, the Itô-Michler theorem ([13],[20]) asserts that a prime p does not divide $\chi(1)$ for any $\chi \in \text{Irr}(G)$ if and only if the group G has a normal abelian Sylow p -subgroup. As usual, we denote by $\text{Irr}(G)$ the set of complex irreducible characters of G and by $\text{Irr}_{p'}(G)$ the subset of $\text{Irr}(G)$ consisting of irreducible characters of degree coprime to p . If we write $\text{cd}(G)$ for the set of character degrees of G and $\text{cd}_{p'}(G)$ for the subset of $\text{cd}(G)$ consisting of those character degrees not divisible by p , the Itô-Michler theorem deals with the situation when $\text{cd}_{p'}(G) = \text{cd}(G)$. At the opposite end of the spectrum, we have a theorem of Thompson ([29]) showing that if $\text{cd}_{p'}(G) = \{1\}$, then G has a normal p -complement. In [1], Berkovich showed that in this situation, G is solvable, using the Classification of Finite Simple Groups.

In [9], a variation on Thompson's theorem for two primes is studied. Namely, it is shown there that if G has only one character with degree coprime to two different primes, then G is trivial. In this paper, we offer another variation on Thompson's theorem by describing finite groups G such that $|\text{cd}_{p'}(G)| = 2$. Thompson's theorem may equivalently be viewed in terms of $\mathbf{O}^{pp'}(G)$, where $\mathbf{O}^{pp'}(G) = \mathbf{O}^{p'}(\mathbf{O}^p(G))$. Namely, Thompson's theorem says that if $\text{cd}_{p'}(G) = 1$ then $\mathbf{O}^{pp'}(G) = 1$. Similarly, we will denote by $\mathbf{O}^{pp'pp'}(G)$ the group $\mathbf{O}^{pp'}(\mathbf{O}^{pp'}(G))$ and prove a corresponding statement for the case $|\text{cd}_{p'}(G)| = 2$.

Theorem A. Let G be a finite group and let $p > 3$ be a prime. Suppose that $|\text{cd}_{p'}(G)| = 2$. Then G is solvable and $\mathbf{O}^{pp'pp'}(G) = 1$.

We remark that Theorem A answers Problem 5.3 of [21], which suggested that groups satisfying $|\text{cd}_{p'}(G)| = 2$ must be p -solvable. It is already said in [21] that there are many examples of non-solvable groups satisfying $|\text{cd}_{2'}(G)| = 2$ (the symmetric group on 5 letters is the smallest one). For $p = 3$, we have that if G is the automorphism group of $\text{PSL}_2(27)$, then $|\text{cd}_{3'}(G)| = 2$, for instance.

We also remark that, for a given prime p , we can find examples of non-solvable groups G satisfying $|\text{cd}_{p'}(G)| = 3$. For instance, the alternating group on 5 letters, A_5 , satisfies that $|\text{cd}_{p'}(A_5)| = 3$ for $p = 2, 3, 5$. For $p > 5$, we have that $|\text{cd}_{p'}(\text{PGL}_2(p))| = 3$.

Further, unlike many statements on character degrees, Theorem A does not immediately seem to correspond to a *dual* statement for conjugacy classes. For instance, we observe that A_5 has exactly two conjugacy classes of size coprime to 5.

As in the case of the result of Berkovich, our proof of Theorem A uses the Classification of Finite Simple Groups. In particular, we need the following result on simple groups.

Theorem B. Let S be a non-abelian simple group and let $p > 3$ be a prime. Then there exist nonlinear $\alpha, \beta \in \text{Irr}_{p'}(S)$ such that α extends to $\text{Aut}(S)$, $\beta(1) \nmid \alpha(1)$, and β is P -invariant for every p -subgroup $P \leq \text{Aut}(S)$.

We obtain Theorem B as a corollary to the following stronger statement, after dealing with the exceptions separately.

Theorem C. Let S be a non-abelian simple group and let $p > 3$ be a prime dividing $|S|$. Assume that S is not one of A_5, A_6 for $p = 5$ or one of $\text{PSL}_2(q), \text{PSL}_3^{\epsilon}(q), \text{PSp}_4(q)$, or ${}^2B_2(q)$. Then there exist two nontrivial characters $\alpha, \beta \in \text{Irr}_{p'}(S)$ such that $\alpha(1) \neq \beta(1)$ and both α and β extend to $\text{Aut}(S)$.

This article is structured as follows. In Section 2, we prove Theorem A assuming that Theorem B is true and show that Theorem C (and hence Theorem B) holds for sporadic groups. In Section 3, we prove Theorem B for the alternating groups. Finally, in Section 4, we prove Theorem B for simple groups of Lie type and we conclude by using the Classification of Finite Simple Groups.

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2 Reduction to simple groups

In this section we assume Theorem B and we prove Theorem A. We will need the following result, which is essentially Lemma 4.1 of [23]. We provide a proof for the reader’s convenience.

Lemma 2.1. *Let Q be a finite group acting on a finite group N and suppose that $N = S_1 \times \cdots \times S_t$, where the S_i ’s are transitively permuted by Q . Let Q_1 be the stabilizer of S_1 in Q and let $T = \{a_1, \dots, a_t\}$ be a transversal for the right cosets of Q_1 in Q with $S_i = S_1^{a_i}$. Let $\psi \in \text{Irr}(S_1)$ be Q_1 -invariant. Then*

$$\gamma = \psi^{a_1} \times \psi^{a_2} \times \cdots \times \psi^{a_t} \in \text{Irr}(N)$$

is Q -invariant.

Proof. First, we have that Q acts transitively on T , and we use the notation $a_i \cdot q$ to indicate the unique element of T such that $Q_1(a_i q) = Q_1(a_i \cdot q)$ for $a_i \in T$ and $q \in Q$. Now notice that Q acts on N as follows: if $q \in Q$ and $S_i^q = S_j$ (that is, if $a_i \cdot q = a_j$), then $(x_1, \dots, x_t)^q = (y_1, \dots, y_t)$, where $y_j = x_i^q$. Let $q \in Q$, and let $\sigma \in S_t$ be the permutation defined by $a_i \cdot q = a_{\sigma(i)}$, so $x_i^q = y_{\sigma(i)}$. Then

$$\begin{aligned}
\gamma^{q^{-1}}(x_1, \dots, x_t) &= (\psi^{a_1} \times \psi^{a_2} \times \dots \times \psi^{a_t})^{q^{-1}}(x_1, \dots, x_t) \\
&= \psi^{a_1}(y_1) \cdots \psi^{a_t}(y_t) \\
&= \psi^{a_1}(x_{\sigma^{-1}(1)}^q) \cdots \psi^{a_t}(x_{\sigma^{-1}(t)}^q) \\
&= \psi^{a_1 q^{-1}}(x_{\sigma^{-1}(1)}) \cdots \psi^{a_t q^{-1}}(x_{\sigma^{-1}(t)}) \\
&= \psi^{a_1 \cdot q^{-1}}(x_{\sigma^{-1}(1)}) \cdots \psi^{a_t \cdot q^{-1}}(x_{\sigma^{-1}(t)}) \\
&= \psi^{a_{\sigma^{-1}(1)}}(x_{\sigma^{-1}(1)}) \cdots \psi^{a_{\sigma^{-1}(t)}}(x_{\sigma^{-1}(t)}) \\
&= \psi^{a_1}(x_1) \cdots \psi^{a_t}(x_t) \\
&= \gamma(x_1, \dots, x_t).
\end{aligned}$$

□

Theorem 2.2. *Let G be a finite group and let $p > 3$ be a prime. Suppose that $|\text{cd}_{p'}(G)| = 2$. Then G is solvable.*

Proof. We argue by induction on $|G|$. Write $\text{cd}_{p'}(G) = \{1, m\}$. Let N be a minimal normal subgroup of G . Then either N is abelian or N is semisimple. It is clear that $|\text{cd}_{p'}(G/N)| \leq |\text{cd}_{p'}(G)|$. Hence by induction and Proposition 9 of [1] we have that G/N is solvable.

Suppose that $N = S_1 \times S_2 \times \dots \times S_t$ where $S_i \cong S$, a non-abelian simple group. Write $H = \mathbf{N}_G(S_1)$ and $S_i = S_1^{x_i}$, where $G = \bigcup_{i=1}^t Hx_i$ is a disjoint union. By Theorem B, there exist $\alpha \in \text{Irr}_{p'}(S_1)$ with $\alpha(1) \neq 1$, extending to $\text{Aut}(S_1)$, and $\beta \in \text{Irr}_{p'}(S_1)$ P -invariant for every p -subgroup $P \leq \text{Aut}(S_1)$, with $\beta(1) \nmid \alpha(1)$.

Now let $\theta = \alpha^{x_1} \times \dots \times \alpha^{x_t} \in \text{Irr}(N)$. By Lemma 5 of [2] we have that θ extends to G . Let $\tilde{\theta} \in \text{Irr}(G)$ extending θ . Then $\tilde{\theta}(1) = \theta(1) = \alpha(1)^t$ is not divisible by p and hence, by hypothesis, $\tilde{\theta}(1) = m$.

Let $Q \in \text{Syl}_p(G)$ and write $\{T_1, \dots, T_r\}$ for a set of representatives of the action of Q on $\{S_1, \dots, S_t\}$, with $T_1 = S_1$. Write $\mathcal{O}(T_i)$ for the orbit of T_i under the action of Q . Rearrange S_1, S_2, \dots, S_t in such a way that $\mathcal{O}(T_1) = \{S_1, S_2, \dots, S_{l_1}\}$, $\mathcal{O}(T_2) = \{S_{l_1+1}, \dots, S_{l_2}\}$, etc. Notice that $l_1 + l_2 + \dots + l_r = t$. Write $N_1 = S_1 \times S_2 \times \dots \times S_{l_1}$, $N_2 = S_{l_1+1} \times \dots \times S_{l_2}$, etc. Notice that Q normalizes N_i and $N = N_1 \times N_2 \times \dots \times N_r$.

Now, let $U = \{q_1, q_2, \dots, q_{l_1}\}$ be a transversal for the right cosets of $Q_1 = Q \cap \mathbf{N}_G(S_1)$ in Q such that $S_j = S_1^{q_j}$ for $j = 1, 2, \dots, l_1$, and define $\gamma_1 \in \text{Irr}(N_1)$ as follows:

$$\gamma_1 = \beta^{q_1} \times \beta^{q_2} \times \dots \times \beta^{q_{l_1}} \in \text{Irr}(N_1).$$

By Lemma 2.1 we have that γ_1 is Q -invariant. If $T_i = S_1^{x_k}$ proceed analogously with β^{x_k} to define $\gamma_i \in \text{Irr}(N_i)$ (notice that β^{x_k} is Q_k -invariant, where $Q_k = \mathbf{N}_G(S_1^{x_k}) \cap Q$). By Lemma 2.1, we have that γ_i is Q invariant for all $i = 1, 2, \dots, r$.

Now, let

$$\gamma = \gamma_1 \times \dots \times \gamma_r \in \text{Irr}(N).$$

We claim that γ is Q -invariant. Indeed, let $q \in Q$ and let $n_1 n_2 \cdots n_r \in N$, with $n_i \in N_i$. Since Q normalizes N_i for all i , we have:

$$\gamma^q(n_1 n_2 \cdots n_r) = \gamma_1^q(n_1) \gamma_2^q(n_2) \cdots \gamma_r^q(n_r) = \gamma_1(n_1) \cdots \gamma_r(n_r).$$

Notice that $\gamma(1) = \beta(1)^t$ and hence, $\gamma \in \text{Irr}_{p'}(N)$. Then $\gcd(o(\gamma)\gamma(1), |NQ : N|) = 1$ and since γ is Q -invariant, we have that γ extends to $\tilde{\gamma} \in \text{Irr}(NQ)$. Since $\tilde{\gamma}(1)$ and $|G : NQ|$ are not divisible by p , there exists $\chi \in \text{Irr}(G|\tilde{\gamma})$ of p' -degree. But $\chi \in \text{Irr}(G|\gamma)$, and hence $\gamma(1) \mid \chi(1)$. Since $\gamma(1) \neq 1$, we have that $\chi(1) \neq 1$, and therefore $\chi(1) = m$. Hence $\beta(1)^t = \gamma(1)$ divides $\chi(1) = m = \alpha(1)^t$, and $\beta(1) \mid \alpha(1)$. This contradiction shows that N is abelian and hence G is solvable. \square

The following is the second part of Theorem A.

Theorem 2.3. *Let G be a finite group and let $p > 3$ be a prime. Suppose that $|\text{cd}_{p'}(G)| = 2$. Then $\mathbf{O}^{pp'pp'}(G) = 1$.*

Proof. Let $K = \mathbf{O}^p(G)$, $L = \mathbf{O}^{p'}(K) = \mathbf{O}^{pp'}(G)$, $N = \mathbf{O}^p(L) = \mathbf{O}^{pp'p}(G)$ and $W = \mathbf{O}^{p'}(N) = \mathbf{O}^{pp'pp'}(G)$, and write $\text{cd}_{p'}(G) = \{1, m\}$. Let W/X be a chief factor of G . Then W/X is a minimal normal subgroup of G/X and since G is solvable, we have that W/X is abelian. Now, if a prime q , different from p , divides $|W : X|$, we have that W/X has a normal q -complement H/X and $|W : H|$ is not divisible by p , a contradiction with the fact that $W = \mathbf{O}^{p'}(N)$. Hence W/X is a p -group.

Now, if $X > 1$, since $\text{cd}_{p'}(G/X) \subseteq \text{cd}_{p'}(G)$, by induction and Thompson's theorem we have that $\mathbf{O}^{pp'pp'}(G/X) = X$ and hence $W = X$, a contradiction. Therefore we may assume that $X = 1$.

Let Y be a complement of W in N . By the Frattini argument, we have that $G = NN_G(Y) = WN_G(Y)$. Since W is abelian, we have that $\mathbf{C}_W(Y) \triangleleft G$ and then $W \cap \mathbf{N}_G(Y) = \mathbf{C}_W(Y) = 1$. Hence W is complemented in G and by Problem 6.18 of [11], every $\lambda \in \text{Irr}(W)$ extends to G_λ .

Let P be a Sylow p -subgroup of G , let $1_W = \lambda_1, \lambda_2, \dots, \lambda_t$ be representatives of the action of P on $\text{Irr}(W)$ and let \mathcal{O}_i be the P -orbit of λ_i . Then

$$1 + \sum_{i=2}^t |\mathcal{O}_i| \lambda_i(1)^2 = \sum_{i=1}^t |\mathcal{O}_i| \lambda_i(1)^2 = \sum_{\lambda \in \text{Irr}(W)} \lambda(1)^2 = |W| \equiv 0 \pmod{p}.$$

Then there exists $i > 1$ such that $|\mathcal{O}_i| \lambda_i(1)^2$ is not divisible by p . Since $|\mathcal{O}_i| = |P : P_{\lambda_i}|$, we have that $|\mathcal{O}_i| = 1$ and hence there is $1_W \neq \lambda \in \text{Irr}(W)$ P -invariant. Let $T = G_\lambda$ and let $\hat{\lambda}$ be an extension of λ to T . Then $\hat{\lambda}^G \in \text{Irr}(G)$ and $\hat{\lambda}^G(1) = |G : T|$. But $P \subseteq T$ and hence $|G : T| = p'$. By hypothesis, $|G : T| = m$.

If λ is N invariant, we have that

$$\lambda(n^{-1}w^{-1}nw) = \lambda^n(w^{-1})\lambda(w) = \lambda(1)$$

and $[N, W] \subseteq \ker(\lambda)$. But $[N, W] \triangleleft G$ and $[N, W] \subseteq W$. Since W is a minimal normal subgroup of G we have that $[N, W] = W$ or $[N, W] = 1$. If $[N, W] = 1$, we have that $[Y, W] \subseteq [N, W] = 1$ and hence by Lemma 4.28 of [12] we have that $W = 1$ and we are done. Thus we may assume that $[N, W] = W$. Then $W \subseteq \ker(\lambda)$, which contradicts the fact that $\lambda \neq 1_W$. Hence $N \not\subseteq T$.

If $\text{cd}_{p'}(G/N) = \{1\}$, by Thompson's theorem we are done. Then we may assume that $\text{cd}_{p'}(G/N) = \{1, m\}$. Let $\chi \in \text{Irr}(G/N)$ with $\chi(1) = m$ and let $\epsilon \in \text{Irr}(TN/N)$ lying under χ of p' -degree. Since $N \subseteq \ker(\epsilon)$, we have that ϵ_T is irreducible and $\rho = \epsilon_T \hat{\lambda} \in \text{Irr}(T|\lambda)$. Thus $\rho^G \in \text{Irr}(G|\lambda)$ and

$$\rho^G(1) = |G : T| \rho(1) = |G : T| \epsilon(1)$$

is not divisible by p . Then $|G : T| \epsilon(1) = m = |G : T|$ and ϵ is linear. Now, since χ is an irreducible constituent of ϵ^G we have that

$$m = \chi(1) \leq \epsilon^G(1) = |G : TN| < |G : T| = m.$$

This contradiction shows that $W = 1$. \square

We end this section with the following, which can be seen using the GAP Character Table Library.

Proposition 2.4. *Let S be a simple sporadic group or the Tits group ${}^2F_4(2)'$. Then Theorem C holds for S .*

3 Alternating Groups

The aim of this section is to prove Theorem B for alternating groups. We achieve this goal by proving the slightly stronger Proposition 3.5.

We begin by recalling some basic facts in the representation theory of symmetric and alternating groups. The irreducible characters of the symmetric group S_n are labelled by partitions of n . We let $\mathcal{P}(n)$ be the set of partitions of n . Given $\lambda = (\lambda_1, \dots, \lambda_\ell) \in \mathcal{P}(n)$, we denote by χ^λ the corresponding irreducible character of S_n . We sometimes use the notation $\lambda \vdash n$ to say that $\lambda \in \mathcal{P}(n)$ and we use the symbol $\lambda \vdash_{p'} n$ to mean that $\chi^\lambda \in \text{Irr}_{p'}(S_n)$, for some prime number p . Given $\lambda \in \mathcal{P}(n)$ we let λ' be the conjugate partition of λ . We recall that the restriction to the alternating group $(\chi^\lambda)_{A_n}$ is irreducible if, and only if, $\lambda \neq \lambda'$ (see [14, Thm. 2.5.7]). Moreover, if $\lambda, \mu \in \mathcal{P}(n)$ then $(\chi^\lambda)_{A_n} = (\chi^\mu)_{A_n}$ if, and only if, $\mu \in \{\lambda, \lambda'\}$.

Given $\lambda \in \mathcal{P}(n)$ we denote by $[\lambda]$ its corresponding Young diagram. The node (i, j) of $[\lambda]$ lies in row i and column j . As usual, we denote by $h_{(i,j)}(\lambda)$ the hook-length corresponding to (i, j) . We let $\mathcal{H}(\lambda)$ be the multiset of hook-lengths in λ . By the hook-length formula [14, Thm. 2.3.21] we know that $\chi^\lambda(1) = n! (\prod_{h \in \mathcal{H}(\lambda)} h)^{-1}$.

Given $e \in \mathbb{N}$ we let $\mathcal{H}^e(\lambda)$ be the multiset of hook-lengths in $\mathcal{H}(\lambda)$ that are divisible by e . The e -core $C_e(\lambda)$ of λ is the partition of $n - e|\mathcal{H}^e(\lambda)|$ obtained from λ by successively removing hooks of length e . A useful consequence of work of Macdonald [16] is stated here (see [9, Lemma 2.4] for a short proof).

Lemma 3.1. *Let p be a prime and let n be a natural number with p -adic expansion $n = \sum_{j=0}^k a_j p^j$. Let λ be a partition of n . Then $\lambda \vdash_{p'} n$ if and only if $|\mathcal{H}^{p^k}(\lambda)| = a_k$ and $C_{p^k}(\lambda) \vdash_{p'} n - a_k p^k$.*

We let $\mathcal{L}(n) = \{\lambda \in \mathcal{P}(n) \mid \lambda_2 \leq 1\}$. Elements of $\mathcal{L}(n)$ are usually called *hook partitions*. We denote by $\mathcal{L}_{p'}(n)$ the set consisting of all those hook partitions of n whose corresponding irreducible character of S_n has degree coprime to p . In the following proposition we completely describe the elements of $\mathcal{L}_{p'}(n)$ and we give a closed formula for $|\mathcal{L}_{p'}(n)|$. This might be known to experts in the area, but we were not able to find an appropriate reference in the literature.

Proposition 3.2. *Let $n \in \mathbb{N}$ and let $n = \sum_{j=1}^k a_j p^{n_j}$ be its p -adic expansion, where $0 \leq n_1 < n_2 < \dots < n_k$ and $1 \leq a_j \leq p - 1$ for all $j \in \{1, \dots, k\}$. Then*

$$|\mathcal{L}_{p'}(n)| = a_1 p^{n_1} \cdot \prod_{j=2}^k (a_j + 1).$$

Moreover, for $\lambda, \mu \in \mathcal{L}(n)$ we have that $\chi^\lambda(1) = \chi^\mu(1)$ if and only if $\lambda \in \{\mu, \mu'\}$.

Proof. We proceed by induction on k , the p -adic length of n . Suppose first that $k = 1$. If $n_1 = 0$ then $n = a_1 < p$ and therefore $|\mathcal{L}_{p'}(n)| = |\mathcal{L}(n)| = a_1$. On the other hand, if $n_1 > 0$ and $\lambda \in \mathcal{L}(n)$ then $h_{(1,1)}(\lambda) = n = a_1 p^{n_1}$. It follows that $|\mathcal{H}^{p^{n_1}}(\lambda)| = a_1$ and that $C_{p^{n_1}}(\lambda) = \emptyset$. Using Lemma 3.1 we conclude that $\lambda \in \mathcal{L}_{p'}(n)$ and hence that $\mathcal{L}(n) = \mathcal{L}_{p'}(n)$. Therefore, we have that

$|\mathcal{L}_{p'}(n)| = a_1 p^{n_1}$. Let us now assume that $k \geq 2$. Let $m := n - a_k p^{n_k}$ and let $\gamma \in \mathcal{L}_{p'}(m)$. We denote by A_γ the subset of $\mathcal{L}_{p'}(n)$ defined as follows:

$$A_\gamma = \{\lambda \in \mathcal{L}_{p'}(n) \mid C_{p^{n_k}}(\lambda) = \gamma\}.$$

Observing that the p^{n_k} -core of a hook partition is a hook partition and using Lemma 3.1 we deduce that

$$\mathcal{L}_{p'}(n) = \bigcup_{\gamma \in \mathcal{L}_{p'}(m)} A_\gamma,$$

where the above union is clearly disjoint. Given $\gamma \in \mathcal{L}_{p'}(m)$ we notice that the set A_γ consists of all those hook partitions of n that are obtained from γ by adding x -many p^{n_k} -hooks to the first row of $[\gamma]$ and $(a_k - x)$ -many to the first column of $[\gamma]$. In particular

$$A_\gamma = \{\lambda_x \mid x \in \{0, 1, \dots, a_k\}\}, \quad \text{where } \lambda_x = (\gamma_1 + xp^{n_k}, 1^{n-\gamma_1-xp^{n_k}}).$$

We conclude that $|A_\gamma| = a_k + 1$, for all $\gamma \in \mathcal{L}_{p'}(m)$. This, combined to the inductive hypothesis shows that:

$$|\mathcal{L}_{p'}(n)| = |\mathcal{L}_{p'}(m)| \cdot (a_k + 1) = (a_1 p^{n_1} \cdot \prod_{j=2}^{k-1} (a_j + 1)) \cdot (a_k + 1) = a_1 p^{n_1} \cdot \prod_{j=2}^k (a_j + 1).$$

The second statement is a consequence of a very well known fact. Namely that for $\lambda = (n - x, 1^x) \in \mathcal{L}(n)$ we have that $\chi^\lambda(1) = \binom{n-1}{x}$. (This can be easily deduced from the hook length formula). \square

Given a natural number n and $S \subset \mathcal{P}(n)$ we let $\text{cd}(S) = \{\chi^\lambda(1) \mid \lambda \in S\}$. Moreover, we let $\text{cd}_{p'}^{\text{ext}}(A_n)$ be the set consisting of all the degrees of irreducible characters of A_n of degree coprime to p that extend to S_n . Here we find a lower bound to $|\text{cd}_{p'}^{\text{ext}}(A_n)|$.

Proposition 3.3. *Let $n \in \mathbb{N}$. Then $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq \lfloor |\mathcal{L}_{p'}(n)|/2 \rfloor$.*

Proof. A hook-partition $\lambda \in \mathcal{L}(n)$ is such that $\lambda = \lambda'$ if and only if n is odd and $\lambda = ((n+1)/2, 1^{(n-1)/2})$. Moreover, the set $\mathcal{L}_{p'}(n)$ is clearly closed under conjugation of partitions. It follows that $((n+1)/2, 1^{(n-1)/2}) \in \mathcal{L}_{p'}(n)$ if and only if $|\mathcal{L}_{p'}(n)|$ is odd. Let $S = \{\lambda \in \mathcal{L}_{p'}(n) \mid \lambda_1 > \lambda'_1\}$. The above discussion shows that $|S| = \lfloor |\mathcal{L}_{p'}(n)|/2 \rfloor$. The second statement of Proposition 3.2 implies that $|\text{cd}(S)| = |S|$. We observe that if $\lambda \in S$ then $(\chi^\lambda)_{A_n}$ is irreducible of degree coprime to p and clearly extends to S_n . In other words, $(\chi^\lambda)_{A_n}(1) \in \text{cd}_{p'}^{\text{ext}}(A_n)$. Moreover, for $\lambda, \mu \in S$ we have that $(\chi^\lambda)_{A_n}(1) = (\chi^\mu)_{A_n}(1)$ if and only if $\lambda = \mu$. Thus we conclude that $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq |\text{cd}(S)| = |S| = \lfloor |\mathcal{L}_{p'}(n)|/2 \rfloor$, as desired. \square

In order to verify that Theorem C holds for alternating groups, we aim of to show that $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$, for all $n \geq 7$. Propositions 3.2 and 3.3 give in most of the cases a much larger lower bound than the one needed. In fact, Proposition 3.5 below is a consequence of these propositions, together with the analysis of the cases where $n \in \mathbb{N}$ is such that $\lfloor |\mathcal{L}_{p'}(n)|/2 \rfloor \leq 2$.

The following facts are easy applications of the hook-length formula and are important to deal with the few exceptional cases mentioned above.

Lemma 3.4. *Let $n, t, c \in \mathbb{N}$ be such that $c \in \{2, 3\}$, $n \geq 4 + c$ and $0 \leq t \leq n - 2c$. Let $\lambda(t)$ be the partition of n defined as $\lambda(t) := (n - c - t, c, 1^t) \in \mathcal{P}(n)$. If $0 \leq t \leq \lfloor \frac{n-4-c}{2} \rfloor$, then*

$$\chi^{\lambda(t)}(1) < \chi^{\lambda(t+1)}(1).$$

Proof. To ease the notation we let $m := \lambda(t)_1 = n - c - t$. The (strange) hypothesis $0 \leq t \leq \lfloor \frac{n-4-c}{2} \rfloor$ is equivalent to say that $\lambda(t+1)_1 \geq (\lambda(t+1)')_1$. In turn this is equivalent to say that $0 \leq t \leq m-4$.

If $c = 2$ then using the hook length formula we observe that

$$\frac{\chi^{\lambda(t+1)}(1)}{\chi^{\lambda(t)}(1)} = \frac{m(m-2)(t+2)}{(m-1)(t+3)(t+1)} \geq \frac{(m-2)^2}{(m-1)^2} \cdot \frac{m}{m-3} > 1,$$

where the first inequality is obtained by replacing t with $m-4$. The proof of the statement for $c = 3$ is completely similar and therefore it is omitted. \square

We care to remark that a more general statement (with arbitrary $c \in \mathbb{N}$) does not hold, as for instance is shown by the pair $(6, 5, 1, 1)$ and $(5, 5, 1, 1, 1)$.

Proposition 3.5. *Let $n \geq 7$ be a natural number and let $p > 3$ be a prime, then $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$.*

Proof. Let $n = \sum_{j=1}^k a_j p^{n_j}$ be the p -adic expansion of n , where $0 \leq n_1 < n_2 < \dots < n_k$ and $1 \leq a_j \leq p-1$ for all $j \in \{1, \dots, k\}$. Suppose that

$$n \notin \{1 + p^{n_2} + p^{n_3}, 2 + p^{n_2}, 1 + a_2 p^{n_2} \mid a_2 \in \{1, 2, 3\}\},$$

since $n \geq 7$, then $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$, by Propositions 3.2 and 3.3. To conclude the proof, we analyze the remaining cases one by one.

Suppose first that $n = 1 + ap^k$, for some $a \in \{1, 2, 3\}$. Let $t \in \mathbb{N}$ be such that $0 \leq t \leq \lfloor \frac{n-6}{2} \rfloor$ and let $\lambda(t) = (n-2-t, 2, 1^t)$. Observe that $\lambda(t) \neq (\lambda(t))'$. By Lemma 3.4 we have that

$$1 < \chi^{\lambda(0)}(1) < \chi^{\lambda(1)}(1) < \dots < \chi^{\lambda(\lfloor \frac{n-6}{2} \rfloor)}(1) < \chi^{\lambda(\lfloor \frac{n-6}{2} \rfloor + 1)}(1).$$

Moreover, since $h_{(1,1)}(\lambda(t)) = ap^k$ and $C_{p^k}(\lambda(t)) = (1)$, then Lemma 3.1 implies that $\chi^{\lambda(t)} \in \text{Irr}_{p'}(S_n)$, for all $t \in \{0, 1, \dots, \lfloor \frac{n-6}{2} \rfloor\}$. Since $n = 1 + ap^k \geq 7$ it follows that $n \geq 8$ and hence that $\lfloor \frac{n-6}{2} \rfloor \geq 1$. Since $\lambda(t)$ is never equal to the trivial partition, we conclude that $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$.

Let $k, h \in \mathbb{N}$ be such that $k < h$, and suppose that $n = 1 + p^k + p^h$. To ease the notation we let $m = 1 + p^k$. By Lemma 3.1 it is easy to observe that

$$\mathcal{P}_{p'}(m) = \{(p^k - t, 2, 1^{t-1}) \mid t \in \{1, \dots, p^k - 2\}\} \cup \{(m), (1^m)\}.$$

Since $p \geq 5$ we have that $|\mathcal{P}_{p'}(m)| \geq 3$. For each $\gamma \in \mathcal{P}_{p'}(m)$ we let

$$\lambda(\gamma) := (\gamma_1 + p^h, \gamma_2, \dots, \gamma_\ell) \in \mathcal{P}(n).$$

Using Lemma 3.1 it is now routine to check that $\lambda(\gamma) \vdash_{p'} n$. Moreover, since $p^h > m$ we have that $\lambda(\gamma) \neq (\lambda(\gamma))'$ for all $\gamma \in \mathcal{P}_{p'}(m)$. Therefore, using again Lemma 3.4 we conclude that also in this case $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$.

Finally suppose that $n = 2 + p^k$, for some $k \in \mathbb{N}$. Let $t \in \mathbb{N}$ be such that $0 \leq t \leq \lfloor \frac{n-7}{2} \rfloor$ and let $\lambda(t) = (n-3-t, 3, 1^t)$. Observe that $\lambda(t) \neq (\lambda(t))'$. Since $h_{(1,1)}(\lambda(t)) = p^k$ and $C_{p^k}(\lambda(t)) = (2)$, by Lemma 3.1 we deduce that $\chi^{\lambda(t)} \in \text{Irr}_{p'}(S_n)$, for all $t \in \{0, 1, \dots, \lfloor \frac{n-7}{2} \rfloor\}$. If $p^k \neq 5$ then $n \geq 9$ and hence $\lfloor \frac{n-7}{2} \rfloor \geq 1$. Since $\lambda(t)$ is never equal to the trivial partition, using Lemma 3.4 we conclude that $|\text{cd}_{p'}^{\text{ext}}(A_n)| \geq 3$. If $p^k = 5$ then direct verification shows that $|\text{cd}_{p'}^{\text{ext}}(A_7)| \geq 3$. \square

We are now ready to prove Theorem B.

Corollary 3.6. *Theorem B holds for all simple non-abelian alternating groups.*

Proof. Let $n \geq 5$. Since $p > 3$ a Sylow p -group P of $\text{Aut}(A_n)$ is necessarily contained in A_n . Hence all irreducible characters of A_n are P -invariant. With this in mind, we observe that for all $n \geq 7$ Theorem B follows from Proposition 3.5. If $n = 5$ then (in accordance with the notation used in the statement of Theorem B) we choose $\alpha = (\chi^{(4,1)})_{A_5}$ and β an irreducible constituent of $(\chi^{(3,1,1)})_{A_5}$. Similarly for $n = 6$ we observe that Theorem B holds by choosing $\alpha = (\chi^{(4,2)})_{A_6}$ and β an irreducible constituent of $(\chi^{(3,2,1)})_{A_6}$. \square

4 Simple Groups of Lie Type

Throughout this section, we will adopt the following notation. Let S be a simple group of Lie type, by which we mean that there is a simply connected simple linear algebraic group \mathbf{G} defined over $\overline{\mathbb{F}}_q$ such that $S = G/Z(G)$, where $G := \mathbf{G}^F$ is the group of fixed points of \mathbf{G} under a Steinberg endomorphism F . Here $\overline{\mathbb{F}}_q$ is an algebraic closure of the field \mathbb{F}_q with q elements, and q is a power of some prime. We further write $G^* = (\mathbf{G}^*)^{F^*}$, where (\mathbf{G}^*, F^*) is dual to (\mathbf{G}, F) .

We denote by $\iota: \mathbf{G} \hookrightarrow \tilde{\mathbf{G}}$ a regular embedding, as in [5, Chapter 15], and let $\iota^*: \tilde{\mathbf{G}}^* \rightarrow \mathbf{G}^*$ be the dual surjection of algebraic groups. When F is a Frobenius endomorphism, we may extend F to a Frobenius endomorphism on $\tilde{\mathbf{G}}$, which we also denote by F , and we let $\tilde{G} := \tilde{\mathbf{G}}^F$ and $\tilde{G}^* := (\tilde{\mathbf{G}}^*)^{F^*}$ be the corresponding group of Lie type and its dual, respectively. Then $G \triangleleft \tilde{G}$ and the automorphisms of G are generated by the inner automorphisms of \tilde{G} (known as inner-diagonal automorphisms of G) together with graph and field automorphisms.

When \mathbf{G} is of type A_{n-1} , we use the notation $\text{PSL}_n^\epsilon(q)$ with $\epsilon \in \{\pm 1\}$ to denote $\text{PSL}_n(q)$ for $\epsilon = 1$ and $\text{PSU}_n(q)$ for $\epsilon = -1$. We will also use the corresponding notation for $\text{SL}_n^\epsilon(q)$, $\text{GL}_n^\epsilon(q)$, and $\text{PGL}_n^\epsilon(q)$.

The goal of this section is to prove the following, which is Theorem B for groups of Lie type.

Theorem 4.1. *Let S be a simple group of Lie type defined over \mathbb{F}_q and let $p > 3$ be a prime dividing $|S|$. Assume that S is not one of $\text{PSL}_2(q)$, $\text{PSL}_3(q)$, $\text{PSp}_4(q)$, or ${}^2\text{B}_2(q)$. Then there exist two nontrivial characters $\chi_1, \chi_2 \in \text{Irr}_{p'}(S)$ such that $\chi_1(1) \neq \chi_2(1)$ and both χ_1 and χ_2 extend to $\text{Aut}(S)$.*

To deal with the exceptions in Theorem 4.1, we prove the following, which is Theorem C.

Theorem 4.2. *Let S be a simple group of Lie type defined over \mathbb{F}_q and let $p > 3$ be a prime dividing $|S|$. Then there exist two nontrivial characters $\chi_1, \chi_2 \in \text{Irr}_{p'}(S)$ such that $\chi_2(1) \nmid \chi_1(1)$, χ_1 extends to $\text{Aut}(S)$, and χ_2 is invariant under every p -group of $\text{Aut}(S)$.*

4.1 Defining Characteristic

We begin by fixing some notation for this section. Let $q = p^a$ be a power of a prime $p > 3$. We fix $a := p^b \cdot m$ where $(m, p) = 1$, $b \geq 0$, and $m \geq 1$. In what follows, it will be useful to consider certain elements of $\overline{\mathbb{F}}_q^\times$. For a positive integer n , we will denote by ζ_n an element of order $p^n - 1$ in $\overline{\mathbb{F}}_q^\times$ and by ξ_n an element of order $p^n + 1$ in $\overline{\mathbb{F}}_q^\times$. In particular, $\zeta_1 \in \mathbb{F}_p^\times \subseteq \mathbb{F}_q^\times$, $\zeta_m \in \mathbb{F}_q^\times$, and $\xi_m \in \overline{\mathbb{F}}_q^\times \setminus \mathbb{F}_q^\times$. We also have $\xi_1 \in \mathbb{F}_{q^2}^\times$ and further $\xi_1 \in \mathbb{F}_q^\times$ if and only if q is a square.

4.1.1 Establishing the Basic Strategy

Suppose that F is a Frobenius map. In what follows, we will often proceed following ideas like those in [25, Theorem 4.5(4)] and [26, Proposition 6.4] to construct characters of $S = G/Z(G)$ satisfying

our desired properties, using characters of \tilde{G} . Namely, if s is a semisimple element of \tilde{G}^* , there exists a unique so-called *semisimple* character $\tilde{\chi}_s$ of p' -degree associated to the \tilde{G}^* -conjugacy class of s , and $\tilde{\chi}_s(1) = [\tilde{G}^* : C_{\tilde{G}^*}(s)]_{p'}$. If further $s \in [\tilde{G}^*, \tilde{G}^*]$, then $\tilde{\chi}_s$ is trivial on $Z(\tilde{G})$, using [22, Lemma 4.4]. Furthermore, the number of irreducible constituents of $\chi := \tilde{\chi}_s|_G$ is exactly the number of irreducible characters $\theta \in \text{Irr}(\tilde{G}/G)$ satisfying $\tilde{\chi}_s\theta = \tilde{\chi}_s$, and we have $\text{Irr}(\tilde{G}/G) = \{\tilde{\chi}_z \mid z \in Z(\tilde{G}^*)\}$ and $\mathcal{E}(\tilde{G}, s)\tilde{\chi}_z = \mathcal{E}(\tilde{G}, sz)$ for such $z \in Z(\tilde{G}^*)$, by [7, 13.30]. Then χ is irreducible if and only if s is not \tilde{G}^* -conjugate to sz for any nontrivial $z \in Z(\tilde{G}^*)$. Finally, if $\varphi \in \text{Aut}(\tilde{G})$ and $\varphi^* : \tilde{G}^* \rightarrow \tilde{G}^*$ is dual to φ , then [24, Corollary 2.4] tells us that $\chi_s^\varphi = \chi_{\varphi^*(s)}$.

Therefore, in the context of proving Theorem 4.1, we will be interested in showing that there exist two nontrivial semisimple elements $s_1, s_2 \in \tilde{G}^*$ such that:

- (1) s_1, s_2 are contained in $[\tilde{G}^*, \tilde{G}^*]$;
- (2) for $i = 1, 2$, s_i is not conjugate to s_iz for any nontrivial $z \in Z(\tilde{G}^*)$;
- (3) the \tilde{G}^* -classes of s_1 and s_2 are $\text{Aut}(\tilde{G}^*)$ -invariant; and
- (4) $|C_{\tilde{G}^*}(s_1)|_{p'} \neq |C_{\tilde{G}^*}(s_2)|_{p'}$.

In the context of Theorem 4.2, we will need to replace (3) and (4) with:

- (3') the \tilde{G}^* -class of s_1 is $\text{Aut}(\tilde{G}^*)$ -invariant and that of s_2 is fixed by p -elements of $\text{Aut}(\tilde{G}^*)$; and
- (4') $|C_{\tilde{G}^*}(s_1)|_{p'} \nmid |C_{\tilde{G}^*}(s_2)|_{p'}$.

4.1.2 The Proofs in Defining Characteristic

Proposition 4.3. *Let S be a simple group of Lie type defined in characteristic $p > 3$ not in the list of exclusions of Theorem 4.1. Then the conclusion of Theorem 4.1 holds for S and p .*

Proof. First assume S is one of $G_2(q), F_4(q)$, or ${}^3D_4(q)$. The character degrees in these cases are available at [15], and the generic character tables are available in CHEVIE [8]. Here $\text{Aut}(S)/S$ is cyclic generated by a field automorphism, so characters extend to $\text{Aut}(S)$ if and only if they are invariant under $\text{Aut}(S)$. In the case of $G_2(q)$, there is a unique character of degree $(q^4 + q^2 + 1)$ and a unique character of degree $(q^3 + \epsilon)$, where $\epsilon = \pm 1$ is such that $q \equiv \epsilon \pmod{6}$, so the statement holds for $G_2(q)$. Similarly, $F_4(q)$ has a unique character of degree $(q^8 + q^4 + 1)$ and a unique character of degree $(q^2 + 1)(q^4 + 1)(q^8 + q^4 + 1)$. Since ${}^3D_4(q)$ has a unique character of degree $(q^8 + q^4 + 1)$, it suffices in this case to find another member of $\text{Irr}_{p'}(S)$ invariant under field automorphisms. Taking k to be such that $\gamma^k \in \mathbb{F}_p^\times$, where γ generates \mathbb{F}_q^\times , this is accomplished by $\chi_{13}(k)$ in CHEVIE notation, which has degree $(q + 1)(q^8 + q^4 + 1)$.

Hence we may assume S is not in the above list, and by Section 3, we may assume that S is not isomorphic to an alternating group. Also note that we may assume G does not have an exceptional Schur multiplier. Let $D \leq \text{Aut}(\tilde{G})$ be as in [27, Notation 3.1], so that D is generated by appropriate graph and field automorphisms and $\text{Aut}(G)$ is generated by D and the inner automorphisms of \tilde{G} .

Since $[\tilde{G} : G]$ is coprime to p , we see by Clifford theory that the set of members of $\text{Irr}(\tilde{G})$ lying above members of $\text{Irr}_{p'}(G)$ is exactly the set $\text{Irr}_{p'}(\tilde{G})$. By [27, Proposition 3.4], for every $\tilde{\chi} \in \text{Irr}_{p'}(\tilde{G})$, there is a character $\chi_0 \in \text{Irr}(G|\tilde{\chi})$ such that $(\tilde{G} \rtimes D)_{\chi_0} = \tilde{G}_{\chi_0} \rtimes D_{\chi_0}$ and χ_0 extends to $G \rtimes D_{\chi_0}$. Further, if $\tilde{\chi}|_G = \chi_0$ and $\tilde{\chi}$ is D -invariant, it further follows that χ_0 extends to $\tilde{G} \rtimes D$, following the proof of [27, Lemma 2.13], since the factor set constructed there for the projective representation of $(\tilde{G} \rtimes D)_{\chi_0}$ extending χ_0 is trivial in this case.

Hence it suffices to show that there exist D -invariant $\tilde{\chi}_1, \tilde{\chi}_2 \in \text{Irr}_{p'}(\tilde{G})$ that are trivial on $Z(G)$, have different degrees, and satisfy that $\tilde{\chi}_1|_G$ and $\tilde{\chi}_2|_G$ are irreducible. In particular, it suffices to find s_1 and s_2 as described in Section 4.1.1 satisfying conditions (1)-(4). For condition (2), we note that by [3, Corollary 2.8(a)], it suffices to show that $C_{\mathbf{G}^*}(t^*(s_i))$ are connected, and hence by [19, Exercise 20.16(c)], to choose s_i such that $(|s_i|, |Z(\mathbf{G})|) = 1$.

First, suppose that \mathbf{G} is not of type A_ℓ . Let Φ and $\Delta := \{\alpha_1, \alpha_2, \dots, \alpha_\ell\}$ be a system of roots and simple roots, respectively, for $\tilde{\mathbf{G}}^*$ with respect to a maximal torus $\tilde{\mathbf{T}}^*$, following the standard model described in [10, Remark 1.8.8]. Note that we may assume that $|\Delta| \geq 3$ if Φ is type B_ℓ or C_ℓ , $|\Delta| \geq 4$ if Φ is type D_ℓ , and otherwise Φ is type E_6, E_7 , or E_8 . Further, our assumptions imply that if the Dynkin diagram for Φ has a nontrivial graph automorphism, then all members of Δ have the same length and that automorphism has order 2 unless Φ is of type D_4 .

Given $\alpha \in \Phi$, let h_α denote the corresponding coroot, following the notation of [10]. Notice that for $\alpha \in \Phi$ and $t \in \overline{\mathbb{F}}_q^\times$, we have $h_\alpha(t) \in [\tilde{\mathbf{G}}^*, \tilde{\mathbf{G}}^*]$. (See, for example, [10, Theorem 1.10.1(a)].) Let $\delta \in \overline{\mathbb{F}}_p^\times$ be such that $|\delta|$ is prime to $|Z(\mathbf{G})|$, if possible. Otherwise, we have \mathbf{G} is type E_7, B_ℓ, C_ℓ , or D_ℓ and $p - 1$ is a power of 2. (Note that if \mathbf{G} is type E_6 , and $p - 1$ is a power of 3, then $p = 2$, contradicting our assumption that $p > 3$). In these latter cases, let δ be an element of $\overline{\mathbb{F}}_{p^2}^\times$ with order prime to $|Z(\mathbf{G})|$ dividing $p + 1$.

We define $s'_1 := h_{\alpha_1}(\delta)h_{\alpha_2}(\delta) \cdots h_{\alpha_{\ell-1}}(\delta)h_{\alpha_\ell}(\delta)$. Let β be a member of Δ as follows. For Φ of type E_6, E_7 , or E_8 , let $\beta := \alpha_4$. If Φ is of type C_ℓ or D_ℓ , let $\beta := \alpha_\ell$. If Φ is type B_ℓ , let $\beta := \alpha_1$. Let $s'_2 := h_\beta(\delta)$ for Φ not of type D_ℓ ; $s'_2 := h_{\alpha_\ell}(\delta)h_{\alpha_{\ell-1}}(\delta)$ for Φ of type D_ℓ with $\ell \geq 5$; and $s'_2 := h_{\alpha_1}(\delta)h_{\alpha_3}(\delta)h_{\alpha_4}(\delta)$ for Φ of type D_4 . Note then that for $i = 1, 2$, s'_i is fixed under graph automorphisms and $(|s'_i|, |Z(\mathbf{G})|) = 1$.

If $\delta \in \overline{\mathbb{F}}_q^\times$, we see that the s'_i are F^* -fixed, and we write $s_i := s'_i$. Otherwise, the elements $\alpha_1 + \dots + \alpha_\ell$ and β are members of Φ , and in the case of D_ℓ , we have $\alpha_\ell + \alpha_{\ell-1} = 2e_{\ell-1}$ and for $\ell = 4$, $\alpha_1 + \alpha_3 + \alpha_4 = e_1 - e_2 + 2e_3$. In the first case, let $\dot{w} \in N_{\tilde{\mathbf{G}}^*}(\tilde{\mathbf{T}}^*)$ induce the corresponding reflection in the Weyl group of $\tilde{\mathbf{G}}^*$. In the case of $\alpha_\ell + \alpha_{\ell-1}$ in type D_ℓ , we may take \dot{w} to be the product of members of $N_{\tilde{\mathbf{G}}^*}(\tilde{\mathbf{T}}^*)$ inducing the reflections in the Weyl group of $\tilde{\mathbf{G}}^*$ for α_ℓ and $\alpha_{\ell-1}$, and similarly for $\alpha_1 + \alpha_3 + \alpha_4$ in the case of D_4 . In any case, we have $s_i := s_i^{g}$ is F^* -fixed, where $g \in \tilde{\mathbf{G}}^*$ satisfies $g^{-1}F^*(g) = \dot{w}$. (Note that such a g exists by the Lang-Steinberg theorem.) Hence s_1 and s_2 are members of $[\tilde{G}^*, \tilde{G}^*]$.

Further, we have constructed s_1 and s_2 to be fixed under graph automorphisms and such that $|C_{\tilde{G}^*}(s_1)|_{p'} \neq |C_{\tilde{G}^*}(s_2)|_{p'}$. The latter can be seen by analyzing the root information in [10] and using the fact that $C_{\tilde{\mathbf{G}}^*}(s_i)$ has root system Φ_{s_i} where Φ_{s_i} consists of $\alpha \in \Phi$ with $\alpha(s_i) = 1$ (see [7, Proposition 2.3]). Let F_p denote a generating field automorphism such that $F_p(h_\alpha(t)) = h_\alpha(t^p)$ for $\alpha \in \Phi$ and $t \in \overline{\mathbb{F}}_q^\times$. Then for $i = 1, 2$, s'_i is $\tilde{\mathbf{G}}^*$ -conjugate to $F_p(s'_i)$, taking for example \dot{w} as the conjugating element when $s'_i \neq F_p(s'_i)$. Hence s_i is also $\tilde{\mathbf{G}}^*$ -conjugate to $F_p(s_i)$. Since the $C_{\mathbf{G}^*}(s_i)$ are connected, this yields that the s_i are \tilde{G}^* -conjugate to $F_p(s_i)$, using [7, (3.25)]. Then s_1 and s_2 are semisimple elements satisfying (1)-(4), as desired.

Now let Φ be of type A_{n-1} , so that $\tilde{G} \cong \tilde{G}^* \cong \text{GL}_n^\epsilon(q)$, $G \cong [\tilde{G}^*, \tilde{G}^*] = \text{SL}_n^\epsilon(q)$, and $G^* \cong \text{PGL}_n^\epsilon(q)$, with $\epsilon \in \{\pm 1\}$ and $n \geq 4$. If $(p, \epsilon) \neq (5, +1)$, let $\delta \in C_{p-\epsilon}$, viewed as a subgroup of \mathbb{F}_{q^2} , be such that $\delta = \zeta_1$ in case $\epsilon = 1$ and $\delta = \xi_1$ in case $\epsilon = -1$. Note that $|\delta| > 4$, from the conditions on p . If $(p, \epsilon) = (5, +1)$, let δ be an element of order 6 in \mathbb{F}_{25} . Then in any case, we have δ chosen such that $|\delta| > 4$.

Recall that the class of a semisimple element in $\text{GL}_n^\epsilon(q)$ is determined by its eigenvalues. Let $s_1 \in \tilde{G}^*$ have eigenvalues $\{\delta, \delta^{-1}, 1, 1, \dots, 1\}$ and s_2 have eigenvalues $\{\delta, \delta, \delta^{-1}, \delta^{-1}, 1, \dots, 1\}$. Then $C_{\tilde{G}^*}(s_1) \cong \text{GL}_{n-2}^\epsilon(q) \times \text{GL}_1^\epsilon(q)^2$ and $C_{\tilde{G}^*}(s_2) \cong \text{GL}_{n-4}^\epsilon(q) \times \text{GL}_2^\epsilon(q)^2$, unless $\epsilon = -1$ and q is

square or $(p, \epsilon) = (5, +)$ and q is nonsquare, in which case $C_{\tilde{G}^*}(s_1) \cong \mathrm{GL}_{n-2}^\epsilon(q) \times \mathrm{GL}_1(q^2)$ and $C_{\tilde{G}^*}(s_2) \cong \mathrm{GL}_{n-4}^\epsilon(q) \times \mathrm{GL}_2(q^2)$. In any case, we therefore have $|C_{\tilde{G}^*}(s_1)|_{p'} \neq |C_{\tilde{G}^*}(s_2)|_{p'}$. Since the graph automorphism acts via inverse-transpose on $\mathrm{GL}_n(q)$ and the generating field automorphism acts on semisimple elements by raising the eigenvalues to the power of p , we see that the \tilde{G}^* -classes of s_1 and s_2 are each $\mathrm{Aut}(\tilde{G}^*)$ -invariant. Further, as s_1 and s_2 have determinant 1, they are contained in $[\tilde{G}^*, \tilde{G}^*] = \mathrm{SL}_n^\epsilon(q)$.

Now, in this case, $Z(\tilde{G}^*)$ is comprised of matrices of the form $\mu \cdot I_n$, where μ is an element of $C_{q-\epsilon}$, viewed as a subgroup of \mathbb{F}_{q^2} . Since $|\delta| \neq 2$, we see by comparing eigenvalues that s_1 cannot be conjugate to $s_1 z$ for any nontrivial $z \in Z(\tilde{G}^*)$. Now, if $s_2 z$ is conjugate to some $z \in Z(\tilde{G}^*)$, then there is some μ as above such that $\delta \mu = \delta^{-1}$ and $\delta^{-1} \mu = \delta$, except possibly if $n = 6$, in which case $\delta \mu = 1$ is another possibility. In the latter case, $\mu = \delta^{-1}$, so $s_2 z$ has eigenvalues $\{1, 1, \delta^{-2}, \delta^{-2}, \delta^{-1}, \delta^{-1}\}$, so we must have $\delta^{-2} = \delta$, contradicting $|\delta| > 4$. Hence we are in the case $\delta \mu = \delta^{-1}$ and $\delta^{-1} \mu = \delta$. Then $\mu = \delta^{-2} = \delta^2$, again contradicting $|\delta| > 4$. Hence in all cases, we have exhibited the desired elements $s_1, s_2 \in \tilde{G}^*$, and the proof is complete. \square

We remark that the conclusion of Theorem 4.1 fails for $\mathrm{PSL}_2(q)$ and $\mathrm{PSL}_3(q)$ when q is a square. Indeed, in these cases, the only option for $\mathrm{Aut}(\tilde{G}^*)$ -invariant semisimple classes of \tilde{G}^* would be comprised of elements with eigenvalues $\{\delta, \delta^{-1}\}$ and $\{\delta, \delta^{-1}, \pm 1\}$, respectively, where $\delta^p = \delta$ or δ^{-1} . If q is a square, both options would yield $\delta \in \mathbb{F}_q$, so all corresponding semisimple characters have the same degree. However, we can show the following:

Lemma 4.4. *Let $S \cong \mathrm{PSL}_2(q)$, $\mathrm{PSL}_3^\epsilon(q)$, or $\mathrm{PSp}_4(q)$ with q a power of a prime $p > 3$. Then the conclusion of Theorem 4.2 holds for S and p . Moreover, if q is not square and further $p \neq 5$ in the case of $\mathrm{PSL}_2(q)$, then the conclusion of Theorem 4.1 holds for S and p .*

Proof. Note that since $p > 3$, the graph and diagonal automorphisms are not in a p -subgroup of $\mathrm{Aut}(S)$. In particular, the only p -elements of D are induced from field automorphisms of p -power order. Arguing as in the proof of Proposition 4.3, it suffices to show that there are two semisimple elements s_1, s_2 of \tilde{G}^* satisfying conditions (1), (2), (3'), and (4') from Section 4.1.1. Throughout the proof, let $q = p^a$ where $a = p^b m$ with $(m, p) = 1$.

(i) First suppose that $p > 5$ and $S \cong \mathrm{PSL}_2(q)$. Note that since $p > 5$, both ζ_1 and ξ_m have order larger than 4. Let $s_1 \in \tilde{G}^*$ have eigenvalues $\{\zeta_1, \zeta_1^{-1}\}$, and s_2 have eigenvalues $\{\xi_m, \xi_m^{-1}\}$. Then s_1 and s_2 satisfy conditions (1), (2), (3'), and (4') from Section 4.1.1. Here $C_{\tilde{G}^*}(s_1) \cong \mathrm{GL}_1(q)^2$, $C_{\tilde{G}^*}(s_2) \cong \mathrm{GL}_1(q^2)$, and the corresponding semisimple characters have degrees $\chi_1(1) = q + 1$ and $\chi_2(1) = q - 1$, respectively. This proves the first statement when $p > 5$ and $S \cong \mathrm{PSL}_2(q)$.

(ii) Next let $p = 5$ and $S \cong \mathrm{PSL}_2(q)$. First suppose $m > 1$. Here we may take $s_1 \in \tilde{G}^*$ to have eigenvalues $\{\xi_1, \xi_1^{-1}\}$, and take s_2 to have eigenvalues $\{\zeta_m, \zeta_m^{-1}\}$ if $2 \nmid m$ and eigenvalues $\{\xi_m, \xi_m^{-1}\}$ if $2 \mid m$. Then if $2 \nmid m$, we have $C_{\tilde{G}^*}(s_1) \cong \mathrm{GL}_1(q^2)$ and $C_{\tilde{G}^*}(s_2) \cong \mathrm{GL}_1(q)^2$. When $2 \mid m$, the centralizers of s_1 and s_2 are reversed. In either case, however, we obtain χ_1 and χ_2 satisfying the required conditions, as above.

Now let $m = 1$, so $q = 5^{5^b}$. Then $q \equiv 1 \pmod{4}$ and $q \equiv -1 \pmod{3}$. We obtain an $\mathrm{Aut}(S)$ -invariant character χ_1 constructed using s_1 as in the case in the previous paragraph. Here $\chi_1(1) = q - 1$. Further, we see from the generic character table that there is a character of degree $(q + 1)/2$ which is invariant under every field automorphism, completing the proof of the first statement for $\mathrm{PSL}_2(q)$.

(iii) Now let S be $\mathrm{PSL}_3^\epsilon(q)$, with $p \geq 5$. Notice that an element $s \in \tilde{G}^*$ with eigenvalues $\{\delta, \delta^{-1}, 1\}$ with $|\delta| \geq 4$ cannot be conjugate to sz for any nontrivial $z \in Z(\tilde{G}^*)$, as then the sets $\{\delta, \delta^{-1}, 1\}$ and $\{\delta \mu, \delta^{-1} \mu, \mu\}$ are the same for some $\mu \in C_{q-\epsilon}$, yielding $\delta^3 = 1$. Note $|\zeta_1| \geq 4$ since $p \geq 5$. Hence we may construct s_1 and s_2 analogously to case (i) above. Namely, let s_1 have eigenvalues $\{\zeta_1, \zeta_1^{-1}, 1\}$,

and let s_2 have eigenvalues $\{\xi_m, \xi_m^{-1}, 1\}$. Then s_1 and s_2 satisfy properties (1),(2),(3'),(4') of Section 4.1.1. Here $C_{\tilde{G}^*}(s_1) \cong \mathrm{GL}_1(q)^3$ in case $\epsilon = 1$, and $C_{\tilde{G}^*}(s_1) \cong \mathrm{GL}_1(q^2) \times \mathrm{GU}_1(q)$ in case $\epsilon = -1$. Further, $C_{\tilde{G}^*}(s_2) \cong \mathrm{GL}_1(q^2) \times \mathrm{GL}_1(q)$ in case $\epsilon = 1$ and $C_{\tilde{G}^*}(s_2) \cong \mathrm{GU}_1(q)^3$ in case $\epsilon = -1$. Hence $\chi_1(1) = (q+1)(q^2 + \epsilon q + 1)$ and $\chi_2(1) = (q-1)(q^2 + \epsilon q + 1)$.

(iv) Now let $S = \mathrm{PSp}_4(q)$. The character table of $G = \mathrm{Sp}_4(q)$ and of $\tilde{G} = \mathrm{CSp}_4(q)$ are available in [28] and [4], respectively. From this we see that the characters in the families $\chi_8(k)$ and $\chi_6(\ell)$ with $k \in \{1, \dots, (q-3)/2\}$, $\ell \in \{1, \dots, (q-1)/2\}$ in the notation of [28], with degrees $(q+1)(q^2+1)$ and $(q-1)(q^2+1)$, respectively, contain $Z(G)$ in the kernel and extend to \tilde{G} . Further, comparing notations shows these extensions are invariant under the same field automorphisms as the characters of G . Choosing k and ℓ such that $\gamma^k = \zeta_1$ and $\eta^\ell = \xi_m$, where γ generates \mathbb{F}_q^\times and η generates the cyclic group of size $q+1$ in $\mathbb{F}_{q^2}^\times$, we see that $\chi_1 := \chi_8(k)$ and $\chi_2 := \chi_6(\ell)$ satisfy the desired properties.

(v) Finally, let q be nonsquare, and further assume $p > 5$ in the case $S \cong \mathrm{PSL}_2(q)$. Let s_1 be as in part (i) in the case $\mathrm{PSL}_2(q)$ and as in part (iii) for $\mathrm{PSL}_3^\epsilon(q)$. Let s_2 in \tilde{G}^* have eigenvalues $\{\xi_1, \xi_1^{-1}\}$ or $\{\xi_1, \xi_1^{-1}, 1\}$, respectively. In this case $\xi_1 \in \mathbb{F}_{q^2}^\times \setminus \mathbb{F}_q^\times$ has order larger than 4. These elements satisfy conditions (1)-(4) discussed in Section 4.1.1. In particular, when $S \cong \mathrm{PSL}_2(q)$, the semisimple characters corresponding to s_1 and s_2 have degrees $q+1$ and $q-1$, respectively. When $S \cong \mathrm{PSL}_3^\epsilon(q)$, the semisimple characters corresponding to s_1 and s_2 have degrees $(q+1)(q^2 + \epsilon q + 1)$ and $(q-1)(q^2 + \epsilon q + 1)$, respectively. Then in these cases, as in the proof of Proposition 4.3, the conclusion of Theorem 4.1 holds.

Now let $S = \mathrm{PSP}_4(q)$. Here we let χ_1 be as in (iv), and let χ_2 be the character $\chi_6(\ell)$, where ℓ is now chosen so that $\eta^\ell = \xi_1$ is a $p+1$ root of unity in $\mathbb{F}_{q^2} \setminus \mathbb{F}_q$. Then χ_1 and χ_2 both extend to $\mathrm{Aut}(S)$ and have different degrees, completing the proof. \square

4.2 Non-Defining Characteristic

In this section, we address the proofs of Theorems 4.1 and 4.2 in the case $p \nmid q$.

Proposition 4.5. *Let S be a simple group of Lie type defined over \mathbb{F}_q not in the list of exclusions of Theorem 4.1, and let $p > 3$ be a prime dividing $|S|$ but not dividing q . Or let $S = {}^2B_2(q^2)$ where $q^2 := 2^{2m+1}$ and let $p > 3$ be a prime dividing $|S|$ but not dividing $q^2 - 1$. Then the conclusion of Theorem 4.1 holds for S and p .*

Proof. By [18, Theorem 2.4], every unipotent character of S extends to its inertia group in $\mathrm{Aut}(S)$, so it suffices to find two unipotent characters with different degrees in $\mathrm{Irr}_{p'}(S)$ that are invariant under $\mathrm{Aut}(S)$. Since the Steinberg character St_S is one such character, we aim to exhibit another nontrivial unipotent character of p' -degree invariant under $\mathrm{Aut}(S)$.

Further, [18, Theorem 2.5], yields that every unipotent character of S is invariant under $\mathrm{Aut}(S)$ unless S is a specifically stated exception for one of $D_n(q)$ with n even, $B_2(q)$ with q even, $G_2(q)$ with q a power of 3, or $F_4(q)$ with q even.

The unipotent characters of classical groups are indexed by partitions in case of type A_{n-1} and ${}^2A_{n-1}$ and by “symbols” in the other types. Discussions of these symbols and the corresponding character degrees are available in [6, Section 13.8]. In Table 1, we list two unipotent characters for each classical type that extend to $\mathrm{Aut}(S)$ by [18, Theorems 2.4 and 2.5]. Further, $p > 3$ cannot divide the degree of both characters listed simultaneously, which can be seen, for example, by an application of [17, Lemma 5.2]. Hence taking $\chi_1 = \mathrm{St}_S$ and χ_2 the character listed whose degree is not divisible by p , the desired statement holds in the case of classical types.

Similarly, for groups of exceptional type, Suzuki and Ree groups, and ${}^3D_4(q)$, by observing the explicit list of unipotent character degrees in [6, Section 13.9], we see that there is likewise always a

Table 1

Type	Partition/Symbol indexing χ	$\chi(1)_{q'}$
$A_{n-1}, n \geq 4$	$(1, n-1)$	$\frac{q^{n-1}-1}{q-1}$
	$(2, n-2)$	$\frac{(q^n-1)(q^{n-3}-1)}{(q-1)(q^2-1)}$
${}^2A_{n-1}, n \geq 4$	$(1, n-1)$	$\frac{q^{n-1}-(-1)^{n-1}}{q+1}$
	$(2, n-2)$	$\frac{(q^n-(-1)^n)(q^{n-3}-(-1)^{n-3})}{(q+1)(q^2-1)}$
B_n or $C_n, n \geq 3$ or $n = 2$ and q odd	$\begin{pmatrix} 1 & n \\ 0 & \end{pmatrix}$	$\frac{(q^{n-1}-1)(q^n+1)}{2(q-1)}$
	$\begin{pmatrix} 0 & n \\ 1 & \end{pmatrix}$	$\frac{(q^{n-1}+1)(q^n-1)}{2(q-1)}$
B_2 with q a power of 2	$\begin{pmatrix} 0 & 1 & 2 \\ & 0 & \end{pmatrix}$	$(q-1)^2/2$
	$\begin{pmatrix} 0 & 2 \\ & 1 \end{pmatrix}$	$(q+1)^2/2$
$D_n, n \geq 5$	$\begin{pmatrix} n-1 \\ 1 \end{pmatrix}$	$\frac{(q^n-1)(q^{n-2}+1)}{q^2-1}$
	$\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$	$\frac{(q^{n-1}+1)(q^{n-1}-1)}{q^2-1}$
D_4	$\begin{pmatrix} 1 & 3 \\ 0 & 2 \\ 1 & 2 \\ 0 & 3 \end{pmatrix}$	$\frac{(q+1)^3(q^3+1)}{2}$
	$\begin{pmatrix} 1 & 2 \\ 0 & 3 \end{pmatrix}$	$\frac{(q^2+1)^2(q^2+q+1)}{2}$
	$\begin{pmatrix} 1 & n-1 \\ & 1 & n \\ 0 & 1 & n \\ & 1 & \end{pmatrix}$	$\frac{(q^n+1)(q^{n-2}-1)}{q^2-1}$
${}^2D_n, n \geq 4$	$\begin{pmatrix} 1 & n-1 \\ & 1 & n \\ 0 & 1 & n \\ & 1 & \end{pmatrix}$	$\frac{(q^{n-1}+1)(q^{n-1}-1)}{q^2-1}$

second unipotent character with degree not divisible by p , except in the case of ${}^2B_2(q^2)$ or ${}^2G_2(q^2)$ with $p|(q^2-1)$. Further, these can again be chosen not to be one of the exceptions listed in [18, Theorem 2.5]. When $S = {}^2G_2(q^2)$ and $p|(q^2-1)$, we may consider instead the unique character of degree $q^4 - q^2 + 1$, which must be invariant under $\text{Aut}(S)$, and hence extends since $\text{Aut}(S)/S$ is cyclic. \square

Together, note that Propositions 4.3 and 4.5 prove Theorem 4.1. We also note the following, which follows from the proof of Lemma 4.4 and ideas from Proposition 4.5, together with the fact that $\text{PSL}_3^\epsilon(q)$ has a unipotent character of degree $q(q+\epsilon)$.

Lemma 4.6. *Let $p > 3$ be a prime and let $S \cong \text{PSL}_2(q)$ or $\text{PSL}_3^\epsilon(q)$ be simple with q a power of a prime $r > 3$, where $r \neq p$. Then the conclusion of Theorem 4.2 holds for S and p . Moreover, the conclusion of Theorem 4.1 holds for S and p in the following situations:*

- $S = \text{PSL}_2(q)$, $r > 5$, and $p \nmid (q+1)$ or q is not square;
- $S = \text{PSL}_3(q)$ and $p \nmid (q+1)$ or q is not square;
- $S = \text{PSU}_3(q)$.

To complete the proof of Theorem 4.2, we need to consider ${}^2B_2(2^{2n+1})$, $\text{PSL}_2(q)$, and $\text{PSL}_3^\epsilon(q)$ when q is a power of 2 or 3 and $p \nmid q$. These are treated in the next two Lemmas.

Lemma 4.7. *Let $p > 3$ be a prime and let $S \cong \text{PSL}_2(q)$ or $\text{PSL}_3^\epsilon(q)$ be simple with q a power of 2 or 3. Then the conclusion of Theorem 4.2 holds for S and p .*

Proof. Let $r \in \{2, 3\}$. Note that we omit the cases $\text{PSL}_2(r)$, since S is simple. As before, we take $\chi_1 = \text{St}_S$, and show that there exists χ_2 satisfying the desired properties.

First suppose that $q = r^{p^b}$ for some positive integer b . Notice then that $p \nmid (q^2-1)$. Indeed, otherwise we have $p|\Phi_{2p^c}(r)$ or $p|\Phi_{p^c}(r)$ for some nonnegative integer c , and hence by [17, Lemma

5.2], we have r has order 1, 2, or a power of p modulo p . Since the latter is impossible, it follows that $p \mid (r^2 - 1)$, which is impossible since $p > 3$ and $r \leq 3$. If $S = \mathrm{PSL}_3^\epsilon(q)$, we may take χ_2 to be the unipotent character of degree $q^2 + \epsilon q$, and in fact the conclusion of Theorem 4.1 holds in this case using [18, Theorems 2.4 & 2.5]. In the case $S = \mathrm{PSL}_2(q)$ and $r = 3$, we have $q \equiv -1 \pmod{4}$, and we may take χ_2 to be a character of degree $(q-1)/2$, which is fixed by the field automorphisms. In the case $r = 2$ and $S \cong \mathrm{PSL}_2(q)$, let $s \in \tilde{G}^*$ have eigenvalues $\{\delta, \delta^{-1}\}$ where $|\delta| = 3$. Then the corresponding semisimple character of \tilde{G} restricts to $\mathrm{SL}_2(q) \cong \mathrm{PSL}_2(q)$ irreducibly, is fixed by field automorphisms, and has degree $q \pm 1$. Letting χ_2 be the corresponding irreducible character of S , we are done in this case.

So we may assume $q = r^a$ where $r \in \{2, 3\}$, and $a = p^b m$ with $m > 1$ and $(m, p) = 1$. Let $S = \mathrm{PSL}_3^\epsilon(q)$. If $p \nmid (q + \epsilon)$, we may again take χ_2 to be the unipotent character of degree $q^2 + \epsilon q$, and the conclusion of Theorem 4.1 holds. So assume that $p \mid (q + \epsilon)$. Arguing similarly to above, we see that this excludes the case $(r, m) = (2, 2)$ if $\epsilon = -1$, so we may further assume $(r, m) \neq (2, 2)$ if $\epsilon = -1$. Taking $s \in \tilde{G}^*$ to have eigenvalues $\{\delta, \delta^{-1}, 1\}$ with $|\delta| = r^m + \epsilon$, we may argue as before to obtain a character χ_2 of S with degree $(q - \epsilon)(q^2 + \epsilon q + 1)$, which is not divisible by p , that is invariant under every p -element of $\mathrm{Aut}(S)$.

Now let $S = \mathrm{PSL}_2(q)$. Then taking $s_i \in \tilde{G}^*$ for $i = 1, 2$ to have eigenvalues $\{\delta_i, \delta_i^{-1}\}$, with $|\delta_1| = r^m - 1$ and $|\delta_2| = r^m + 1$, we obtain characters with degree $(q + 1)$ and $(q - 1)$ of S that are invariant under p -elements of $\mathrm{Aut}(S)$. Since $p > 3$ cannot divide both of these character degrees, we may make an appropriate choice for χ_2 , which completes the proof. \square

Lemma 4.8. *Let S be a simple Suzuki group ${}^2B_2(q^2)$ with $q^2 = 2^{2n+1}$ and let $p > 3$ be a prime dividing $q^2 - 1$. Then the conclusion of Theorem 4.2 holds for S and p .*

Proof. As before, we may take χ_1 to be the Steinberg character. Now, since $\mathrm{Aut}(S)/S$ is cyclic of size $2n + 1$, generated by field automorphisms, it suffices to exhibit a character χ_2 with degree coprime to p that is invariant under field automorphisms of p -power order. Let $2n + 1 = p^b m$ with $(m, p) = 1$. Arguing as in Lemma 4.7, we see $m > 1$, since $p \mid (q^2 - 1)$. Hence letting s be such that γ^s has order $2^m - 1$, where γ has order $q^2 - 1$, we may take χ_2 to be the character $\chi_5(s)$ in CHEVIE notation. Then χ_2 has degree $q^4 + 1$ and is invariant under p -elements of $\mathrm{Aut}(S)$. \square

Theorem 4.2 now follows by combining Lemmas 4.4, 4.6, 4.7, and 4.8 with Theorem 4.1, which completes the proofs of Theorems A-C.

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